

72-1

(3) It is hereby certified that the program under Subproject 72 has been satisfactorily completed and returned unused funds. However, Subproject 70, which is still continuing also refunded money which was left over at cutout from previous grant. Therefore, it is requested that the amounts as shown below on Invoice No. 4 of Subproject 70 be credited to the subprojects as shown below.

<u>Project</u>	<u>Amount</u>	<u>MOR</u>	<u>Allotment</u>
①72	\$972.00	2768	7-2502-10-001
70	450.00	571	0525-1009-4902

Chief, ASD/Research Branch

Date: _____

Research Director

Date: _____

2 November 1960

MEMORANDUM FOR THE RECORD

SUBJECT: MKULTRA #72

B The following checks issued by the [redacted] are applicable to MKULTRA #72. They were endorsed and cashed by the individual or organization concerned. Photostatic copies of the checks have been submitted to this office and are held in lieu of receipts, which were not requested. The payments to [redacted] represented by checks AA-1, 106, 115, and 124 were accounted for by [redacted] of August 31, 1958. **B**

Amount of Grant..... \$41,382.00

Check #	Date	Amount
AA-1	Aug 12, '57	\$ 7,552.50 ✓
101	Sep 30, '57	1,250.00 ✓
102	Sep 30, '57	250.00 ✓
103	Sep 30, '57	750.00 ✓
106	Oct 15, '57	7,552.50 ✓
108	Nov 5, '57	600.00 ✓
111	Jan 2, '58	750.00 ✓
112	Jan 2, '58	250.00 ✓
113	Jan 2, '58	1,250.00 ✓
115	Feb 3, '58	7,552.50 ✓
117	Mar 20, '58	300.00 ✓
118	Apr 1, '58	1,250.00 ✓
119	Apr 1, '58	750.00 ✓
120	Apr 1, '58	250.00 ✓
124	May 19, '58	7,552.50 ✓
127	Jul 23, '58	750.00 ✓
128	Jul 23, '58	250.00 ✓
129	Jul 23, '58	1,250.00 ✓
130	Jul 23, '58	300.00 ✓

7552.50
x 4
30,210.00
750.00 x 4 = 3000.00
350.00 x 4 = 1400.00
400.00
30.00
1200.00
1250.00 x 4 = 5000.00

40,410.00

972.00

Balance remains in [redacted] on #72. **B**

[redacted] **A**
Chief
TSD/Research Branch

Dr. H. H. H. #72
72-9

MEMORANDUM OF AGREEMENT

B The [redacted], a non-profit corporation chartered by the [redacted] agrees to act as financial agent for [redacted], hereafter known as Sponsor, in connection with certain mutually agreed upon research grants under the following conditions:

B 1. A Special Bank Account will be maintained by [redacted] in which the principal sums involved in the above mentioned research grants will be lodged prior to final disbursement to the Sponsor's designated grantee. Photostatic copies of all bank statements and cancelled checks on this account will be furnished to the Sponsor as they become available.

B 2. [redacted] agrees that the contents of this Special Bank Account are at all times the property of the Sponsor; however, in order for [redacted] to live up to its legal obligations under the laws and regulations of the [redacted] relating to the conduct of non-profit foundations, manipulations on and the general handling of this bank account must in every case conform to the legally established practices of [redacted].

B 3. The [redacted] will make every reasonable effort to protect the interests and identity of the Sponsor of these research grants by handling them as much as possible in the same fashion as normal [redacted] grants; however, [redacted] cannot assume any liability beyond reasonable care and attention in this respect.

B 4. [redacted] further agrees to provide the Sponsor with copies of correspondence relating to the research grants for which it is acting as agent. When such correspondence consists of accountings for funds disbursed by the grantee, reports of work done, or other statements of fact [redacted] assumes no responsibility for the completeness or accuracy thereof. In addition, [redacted] will assume no responsibility for the reliability, financial or otherwise, of any grantee selected by the Sponsor. Finally, [redacted] agrees to use its offices as far as is possible to locate personnel and facilities of interest to the Sponsor in the conduct of its research program.

B 5. If, for any reason, funds which have been disbursed under this agreement by [redacted] are later returned to [redacted] such funds will be held in the above mentioned Sponsor's bank account for final disposition according

B to the Sponsor's specific instructions; except that any such instructions must be, in the opinion of [redacted], in keeping with its usual manner of doing business and its legal responsibilities.

B 6. If, for any reason, a controversy should arise between the Sponsor and the final recipient of any grant as to disposition of funds, terms of agreement, etc., [redacted] will limit its participation in such a disagreement to transmittal of appropriate requests and offers between the two parties. It will not undertake to mount or participate in any legal action or other coercive measures which the Sponsor may advocate.

B 7. In view of the costs related to the foregoing considerations, [redacted] will require payment by the Sponsor of a fee amounting to 4% of the principal sum of each grant handled during the life of this agreement.

Signature _____

Date _____

72-4
30 October 1959

MEMORANDUM FOR: THE RECORD

SUBJECT : MKULTRA No. 72

The following checks issued by [REDACTED]

B [REDACTED] are applicable to MKULTRA No. 72. They were endorsed and cashed by the respective individuals. Photostat copies have been submitted this office and are held in lieu of formal receipts which were not requested. [REDACTED] does not normally require receipts for salary checks which, endorsed, cashed, or deposited constitute acknowledgment of payment.

C [REDACTED]
✓#103 Sept 30, 1957 750.00
✓#111 Jan 2, 1958 750.00
✓#119 Apr 1, 1958 750.00
✓#127 July 23, 1958 750.00

C [REDACTED]
✓#102 Sept 30, 1957 250.00
✓#112 Jan 2, 1958 250.00
✓#120 Apr 1, 1958 250.00
✓#128 July 23, 1958 250.00

C [REDACTED]
✓#108 Nov 5, 1957 600.00
✓#117 Mar 20, 1958 300.00
✓#130 July 23, 1958 300.00
Total 5,200.00

A [REDACTED]
[REDACTED]
Chief
TSS/Chemical Division

72-5
B
B
C
B
Financial Statement
July 1, 1957 to August 31, 1958

Funds received

\$30,210.00

Expenditures:

Salaries \$7,234.27

Equipment 5,070.94

Travel 2,740.97

Supplies 2,821.99

Animal Houses 1,465.17

Laboratory Facilities 7,120.50

Honorarium 75.00

Overhead 3,710.00

Total Expenditures

30,238.84

Balance August 31, 1958 (Deficit)

(\$ 28.84)

June 23, 1959

C
Assistant Controller

I have examined and approved the submitted expenditures.

A

Chief

TSS/Chemical Division

Date: 10/7/59

72-6

B

15 September 1957

Dear _____

I wish to bring to the undivided attention of your administrative accountant the following discrepancies in his financial book-keeping :

Your figures :

Corrected :

#72

Grant	\$ 36,300.00	\$ 35,800.00
Overhead 14%	5,082.00	5,012.00
Total	41,382.00	40,812.00
Handling 4%	1,655.28	1,632.48
Grand total	43,037.28	42,444.48

#70

Grant	\$ 19,500.00	\$ 19,500.00
Overhead 14%	2,340.00	2,730.00
Total	21,840.00	22,230.00
Handling 4%	945.24	889.20
Grand total	22,785.24	23,119.20

Monies paid by you :

Monies committed (corrected) :

Grant totals	\$ 63,222.00	\$ 63,042.00
Handling 4%	2,600.52	2,521.68
Total	65,822.52	65,563.68

Enclosed herewith is our adding machine tape containing the corrected figures. In spite of errors in arithmetic, the grand totals are quite close. The monies already paid by you, \$65,822.52, results in an overpayment of \$258.84 in favor of _____ The above figures are cited for your information and edification. I am sure that the necessary corrections will be made in your records,

Sincerely yours,

CORRECTED

#12

4 0 0 0 0 0
 7 5 0 0 0 0
 4 0 0 0 0 0
 3 6 0 0 0 0
 3 2 0 0 0 0
 3 5 0 0 0 0
 3 0 0 0 0 0
 6 0 0 0 0 0
 1 0 0 0 0 0

*
*

v

SUB-TOTAL 3 5,8 0 0 0 0 S

4% 5,0 1 2 0 0

S

TOTAL - 4 0,8 1 2 0 0 S

4% 1,6 3 2,4 8

S

GRAND 4 2,4 4 4,4 8 S

TOTAL - 4 2,4 4 4,4 8 *

*

#10

12 1/2 YRS

6 0 0 0 0 0
 4 0 0 0 0 0
 3 0 0 0 0 0
 3 0 0 0 0 0
 2 5 0 0 0 0
 1 0 0 0 0 0

*
*

S

SUB-TOTAL - 19,5 0 0 0 0 S

72-6

B

~~14%~~ 2,7 3 0,0 0 S

TOTAL — 2,2 3 0,0 0 S

4% — 8 8 9,2 0 S

GRAND 2 3,1 1 9,2 0 S

TOTAL — 2 3,1 1 9,2 0 *

2nd YEAR

7 0 0 0 0 0

4 5 0 0 0 0

3 3 0 0 0 0

3 2 0 0 0 0

1 5 0 0 0 0

1 0 0 0 0 0

1 8 0 0 0 0

*

v

SUB-TOTAL — 2 2,3 0 0,0 0 S

~~14%~~ 3,1 2 2,0 0 S

TOTAL — 2 5,4 2 2,0 0 S

4% — 1,0 1 6,8 8 S

GRAND 2 6,4 3 8,8 8 S

TOTAL — 2 6,4 3 8,8 8 *

TOTALS :

 1st YEAR — 2 2,2 3 0,0 0 *

 2nd YEAR — 2 5,4 2 2,0 0 S

TOTAL — 4 7,6 5 2,0 0 S

4% 1,9 0 6,0 8

GRAND } 4 9,5 5 8,0 8 *

TOTAL

726 A
C
August 9, 1957

Dear [REDACTED] C

This is to acknowledge the receipt of the following checks:

Check #6008072 drawn on [REDACTED] for \$1,655.28 E

Check #66124865 drawn on the [REDACTED] for \$41,382.00 E

Your generous contributions are greatly appreciated. The funds will be utilized by our Board of Directors in furthering worthwhile research projects in the field of medical sciences.

Yours truly, C [REDACTED]

Executive Secretary - Treasurer

POST OFFICE DEPARTMENT
FISCAL DIVISION

POSTAGE FOR PRIVATE USES ONLY
PAID BY ADDRESSEE
FOR PEACE

RETURN TO
V

INSTRUCTIONS - Show name, address and number of
package. Complete instructions to delivering
office on rear side, when applicable. Mailing
space and securely attach to back of article. En-
closure of article. RETURN RECEIPT REQUESTED.

POSTAGE PAID BY

CERTIFIED MAIL

INSURED BY

B

MEMORANDUM OF AGREEMENT

1. I acknowledge the fact that because of the confidential relationship between myself and the U.S. Government, I will be the recipient of information which, in itself, or by the implications to be drawn therefrom, will be such that its unlawful disclosure or loose handling may adversely affect the interest and the security of the United States. I realize that the methods of collecting and of using this information, as well as the identity of persons involved, are as secret as the substantive information itself and, therefore, must be treated by me with an equal degree of secrecy.

2. I shall always recognize that the U.S. Government has the sole interest in all information which I or my organization may possess, compile or acquire pursuant to this understanding. No advantage or gain will be sought by me as a result of the added significance or value such information may have, due to the Government's interest in it.

3. I solemnly pledge my word that I will never divulge, publish, nor reveal either by word, conduct, or by any other means such information or knowledge, as indicated above, unless specifically authorized to do so.

4. Nothing in this understanding is to be taken as imposing any restriction upon the normal business practices of myself or my organization: i.e., information normally possessed by us or gathered in the regular course of business will continue to be utilized in accordance with our normal practices.

Signature: _____

C [Redacted Signature]

Date: 3.2.11.1957

SUB 72
72-8

August 2, 1957


Gentlemen:

We are pleased to be able to transmit to you the following funds:

Check #6008072 drawn on  E
 for \$1,655.28

Check #66124865 drawn on  E
 for \$41,382.00.

These funds represent a contribution for the use of your directors in carrying out the very worthwhile research goals of your organization.

Yours truly,

Encl.
Checks (2)



72-10

1 May 1957

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION: Finance Division

SUBJECT: MKULTRA Subproject 72

Under the authority granted in the Memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 72 has been approved, and \$42,037.28 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to Allotment 7-2502-10-001.

SIDNEY GOTTLIEB

Chief

TSS/Chemical Division

APPROVED FOR OBLIGATION
OF FUNDS:

SIGNED

Research Director

Date: 1 MAY 1957

Distribution:

Orig & 2 - Addressee

- 1 - TSS/OC
- 1 - TSS/FASB
- 1 - TSS/SRB
- 2 - TSS/CD

TSS/CD (1 May 1957)

1 JUL 1957

70-11
11 July 1957

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA: TSS/Budget Officer

SUBJECT: MKULIRA Subproject 72, Invoice No. 1,
Allotment 7-2502-10-001

1. Invoice No. 1 is attached covering the above subproject.
Payment should be made as follows:

E Cashier's check in the amount of \$41,302.00, drawn on [redacted]

E Cashier's check in the amount of \$1,655.28, drawn on [redacted]

Both checks should be made payable to [redacted] B

2. Please forward the checks to Chief, TSS/Chemical Division,
through TSS/Budget Officer, by Friday, 26 July 1957.

3. This is a final invoice. A total of \$43,037.28 was obli-
gated under this subproject during FY 57. However since it is
anticipated that additional funds will be obligated for this project,
the files should not be closed.

[redacted] A
Acting Chief
TSS/Chemical Division

Attachments:
Invoice and Certifications

Distribution:
Orig & 2 - Addressee
1 - Comptroller

1 - TSS/FASB
2 - TSS/CD

TSS/CD [redacted] (11 July 1957)

6/19/56

1029 54

600502

1029 54

276
7-2502-10-001

H/CD A
by [redacted]
1045
7/17/57

A

72-11

INVOICE

For services

\$43,037.28

~~XXXXXXXXXXXXXXXXXXXX~~ B

CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. 1 applying to Subproject 72 of MKULTRA, that performance is satisfactory, that the services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Acting Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 72 under MKULTRA which was duly approved and that the project is being carried out in accordance with the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:

MEMORANDUM FOR: The Record

SUBJECT: Request for Change, MKULTRA 72

1. In letter dated 27 June 1957 [REDACTED] **B**
[REDACTED] requested authorization to pay professional personnel
direct on honorary basis.

2. Authorization requested was granted in telephone conversation
29 June 1957.

[REDACTED] **A**
[REDACTED]

72-13
B #72
June 27, 1957

Dear Sirs :

Due to the restrictive rules and regulations pertaining to stipends and consultant fees for members of the [redacted] B it would be administratively more expedient and acceptable to the Staff members on Foundation research grants to receive stipends direct from the Foundation. Numerous administrative difficulties present themselves when stipends are paid through the Controller's office over and above the usual University salary.

Therefore, it is requested that authorization be given to the Foundation to pay research professional personnel direct on an honorarium basis. This would be applicable specifically to Doctors [redacted] C

Your cooperation in this matter would be greatly appreciated. If there are any questions on your part, please do not hesitate in communicating with us at the earliest opportunity.

Sincerely yours,
[redacted] C

Executive Secretary - Treasurer
[redacted] C

72-14
DRAFT
1 May 1957

MEMORANDUM FOR: THE RECORD

SUBJECT: MKULTRA Subproject 72

1. The purpose of Subproject No. 72 is to initiate research work on the neurophysiological and pharmacological effects of central nervous system antagonists and synergists.

2. The scope of [redacted] program will include the following:

A. To determine the neurophysiological and pharmacological action upon the CNS of unknown drugs assayed against known effects of standard drugs with CNS activity.

B. To develop a systematic method of testing and characterizing pharmacological agents both in terms of symptomatology produced and in terms of describing potency in producing given effects by means of standardized and valid statistical procedures.

C. Act as a pharmacological consultant on problems inherent to TSS/CD projects at the request of TSS/CD.

D. Review of overt American pharmacological literature on problems of specific interest to TSS/CD.

3. It is expected that [redacted] from the standpoint of TSS/CD interests, will be able to monitor, direct and actively participate in this project from the [redacted]

4. The cost of this program is estimated at a cost of \$41,382.00 for a period of one year. To this sum must be added \$1,655.28 representing a four percent service charge to the [redacted]

[redacted] will function as cutout and cover [redacted] for this project. The [redacted] title of this project is [redacted] [redacted] is presumably being supported by a research grant from the Foundation.

Do not
b6
b7C

5. The total cost of the project for a period of one year will not exceed \$43,037.28. Charges should be made against Allotment 7-2502-10-001.

6. The Foundation has requested [redacted] to submit *B* a summary accounting of monies received and to return any unexpended grant funds at the end of the project year.

7. It was mutually agreed that documentation and accounting for travel expenses which are reimbursable by [redacted] shall *B* conform with the accepted practices of that institution.

8. Title to any permanent equipment purchased by funds granted [redacted] shall be retained by them in lieu of higher *B* overhead rates.

9. The requirement for a six-months' informal accounting on the part of the principal investigator is waived.

10. [redacted] is cleared through TOP SECRET and is *C* aware of the true purposes of the program. He has agreed to comply with the requirements of the Memorandum of Agreement.

[redacted]

Chief, Branch II
TSS/Chemical Division

APPROVED FOR OBLIGATION
OF FUNDS:

Approved:

[redacted]
Jan
Research Director

[redacted]
Chief, TSS/Chemical Division

Date:

Distribution:
Original only

Attached:
Proposal

[redacted]

[redacted]

